License Guide - On Premise
Oracle FLEXCUBE Universal Banking
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License Guide

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## 1. Preface

# 1.1 Introduction

This document helps you understand the guiding rules for Oracle FLEXCUBE Universal Banking licensing, the components included in the license, and the units that are separately licensed.

This document also provides information on the third party software that are packaged with Oracle FLEXCUBE Universal Banking.

## 1.2 Audience

This document is intended for the following audience:

- Customers
- Partners

# 1.3 <u>Documentation Accessibility</u>

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <a href="http://www.oracle.com/pls/topic/lookupctx=acc&id=docacc">http://www.oracle.com/pls/topic/lookupctx=acc&id=docacc</a>.

# 1.4 Organization

This manual is organized into the following chapters:

Chapter	Description
Chapter 1	Preface gives information on the intended audience. It also lists the various chapters covered in this license guide.
Chapter 2	Oracle FLEXCUBE Universal Banking Licensing provides information on Oracle FLEXCUBE Universal Banking licensing.
Chapter 3	Software Pre-requisites consists of the technology pre-requisites required for Oracle FLEXCUBE Universal Banking.
Chapter 4	Third Party Licenses provides information on licensing of third party software that are packaged with Oracle FLEXCUBE Universal Banking.

# 1.5 Related Documents

For more information, refer to the following documents:

- End user license agreement
- Oracle FLEXCUBE User Manuals



# 2. Oracle FLEXCUBE Universal Banking Licensing

# 2.1 Introduction

This chapter provides information on Oracle FLEXCUBE Universal Banking licensing. It contains the following sections:

- General licensing rules
- · Components included in the license
- Separately licensed pre-requisite products and licensing metric
- Restricted use license

# 2.2 General Licensing Rules

The following table lists out the modules, which are primarily retail or corporate. The licensing rule against each of them defines how these modules will get licensed based on the usage of the bank.

SI. No.	Module Description	Licensing Rule(s)
Retai	l Modules	
1	Oracle FLEXCUBE Universal Banking Current Accounts and Savings Accounts	If the customer is using Universal Banking for Retail programs, these applications
2	Oracle FLEXCUBE Universal Banking Standing Instructions	may only be licensed by the Account metric. However, if the customer is using Universal Banking for Corporate, and is not using Universal Banking for Retail, then a customer may license these programs under the Application User metric.



SI. No.	Module Description	Licensing Rule(s)				
Corpo	Corporate Modules					
3	Oracle FLEXCUBE Universal Banking Nostro Reconciliation	If the customer is using Universal Banking for Corporate programs, these applications may only be licensed by the Application				
4	Oracle FLEXCUBE Universal Banking Commercial Leasing	User. However, if the customer is using Universal Banking for Retail, and is not using Universal Banking for Corporate,				
5	Oracle FLEXCUBE Integration Gateway	then a customer may license these programs under the Account metric.				

# 2.3 Components Included in License

The following components are included in the Oracle FLEXCUBE Universal Banking license:

- 1. Signature Verification: is included with Oracle FLEXCUBE Universal Banking Current Accounts and Savings Accounts license.
- 2. Islamic Banking Support: The following Oracle FLEXCUBE Universal Banking module licenses have support for Islamic Banking.
  - Oracle FLEXCUBE Universal Banking Base
  - Oracle FLEXCUBE Universal Banking Asset Management
  - Oracle FLEXCUBE Universal Banking Current Accounts and Savings Accounts
  - Oracle FLEXCUBE Universal Banking Fixed Assets
  - Oracle FLEXCUBE Universal Banking Relationship Pricing
  - Oracle FLEXCUBE Universal Banking Retail and Small and Medium Enterprise Loans
  - Oracle FLEXCUBE Universal Banking Term Deposits
  - Oracle FLEXCUBE Universal Banking Islamic Commercial Lending and Leasing

# 2.4 Separately Licensed Pre-requisite Products and Licensing Metric

The module wise list of separately licensed products and the licensing metric is given below.

For other separately licensable software pre-requisites, refer to the section Software Pre-requisites.

Module Description	Separately Licensed Prerequisites	Licensing Metric(s)	



Oracle FLEXCUBE Universal Banking Base*	<ul> <li>Oracle FLEXCUBE         Development Workbench</li> <li>Oracle FLEXCUBE Integration         Gateway</li> <li>Oracle Database Enterprise         Edition, Partitioning, and         TopLink, Application         Development Framework</li> </ul>	<ul> <li>Application User</li> <li>Custom Suite User</li> <li>Account</li> <li>Financial Inclusion Account</li> </ul>
Oracle FLEXCUBE Universal Banking Current Accounts and Savings Accounts	Oracle FLEXCUBE Universal     Banking Base	<ul> <li>Application User</li> <li>Custom Suite User</li> <li>Account</li> <li>Financial Inclusion Account</li> </ul>
Oracle FLEXCUBE Universal Banking Relationship Pricing	<ul> <li>Oracle FLEXCUBE Universal Banking Base</li> <li>Either Oracle FLEXCUBE Universal Banking Current Accounts &amp; Savings Accounts OR Oracle FLEXCUBE Universal Banking Term Deposits</li> </ul>	• Account
Oracle FLEXCUBE Universal Banking Term Deposits	Oracle FLEXCUBE Universal     Banking Base	Account
Oracle FLEXCUBE Universal Banking Retail and Small and Medium Enterprise Loans	Oracle FLEXCUBE Universal Banking Base	Account     Financial Inclusion     Account
Oracle FLEXCUBE Universal Banking Nostro Reconciliation	Oracle FLEXCUBE Universal     Banking Base	<ul><li>Application User</li><li>Custom Suite User</li><li>Account</li></ul>
Oracle FLEXCUBE Universal Banking Standing Instructions	Oracle FLEXCUBE Universal     Banking Base	<ul><li>Application User</li><li>Custom Suite User</li><li>Account</li></ul>
Oracle FLEXCUBE Universal Banking ATM Interface	Oracle FLEXCUBE Universal Banking Base	Account     Financial Inclusion     Account
Oracle FLEXCUBE Universal Banking Point of Sale Interface	Oracle FLEXCUBE Universal Banking Base	<ul><li>Account</li><li>Financial Inclusion Account</li></ul>



Oracle FLEXCUBE Universal Banking Interactive Voice Response Interface	Oracle FLEXCUBE Universal Banking Base	Account
Oracle FLEXCUBE Universal Banking Mortgages	Oracle FLEXCUBE Universal     Banking Base	Account
Oracle FLEXCUBE Universal Banking Consumer Leasing	Oracle FLEXCUBE Universal     Banking Base	Account
Oracle FLEXCUBE Universal Banking Commercial Leasing	Oracle FLEXCUBE Universal Banking Base	<ul><li>Application User</li><li>Custom Suite User</li><li>Account</li></ul>
Oracle FLEXCUBE Universal Banking Inventory Management	<ul> <li>Oracle FLEXCUBE Universal Banking Base</li> <li>Oracle FLEXCUBE Universal Banking Current Accounts &amp; Savings Accounts</li> </ul>	Account
Oracle FLEXCUBE Universal Banking Microfinance	<ul> <li>Oracle FLEXCUBE Universal Banking Base</li> <li>Oracle FLEXCUBE Universal Banking Retail and Small and Medium Enterprise Loans</li> </ul>	Account
Oracle FLEXCUBE Universal Banking Safe Deposit Box	Oracle FLEXCUBE Universal     Banking Base	Account
Oracle FLEXCUBE Universal Banking Corporate Deposits	Oracle FLEXCUBE Universal     Banking Base	<ul><li>Application User</li><li>Custom Suite User</li></ul>
Oracle FLEXCUBE Universal Banking Fixed Assets	Oracle FLEXCUBE Universal     Banking Base	Application User     Custom Suite User
Oracle FLEXCUBE Universal Banking Asset Management	FLEXCUBE Universal Banking     Base and Oracle Banking     Treasury Management	<ul><li>Application User</li><li>Custom Suite User</li></ul>
Oracle FLEXCUBE Universal Banking Expense Processing	Oracle FLEXCUBE Universal Banking Base	<ul><li>Application User</li><li>Custom Suite User</li></ul>



Oracle FLEXCUBE Universal Banking Islamic Commercial Lending and Leasing	Oracle FLEXCUBE Universal Banking Base	<ul><li>Account</li><li>Application User</li><li>Custom Suite User</li></ul>
Oracle FLEXCUBE Oper	n Development Tools	
Oracle FLEXCUBE Development Workbench	Either of Oracle FLEXCUBE     Universal Banking Base or     Oracle Banking Enterprise     Limits Management, Oracle     Banking Collateral Management     or Oracle Banking Corporate     Lending, Oracle Banking     Payments, Oracle Banking     Trade Finance or Oracle     Banking Treasury Management	Application User
Oracle FLEXCUBE Interf	aces and Tools	
Oracle FLEXCUBE Integration Gateway	Either of Oracle FLEXCUBE     Universal Banking Base or     Oracle Banking Enterprise     Limits Management, Oracle     Banking Collateral     Management or Oracle Banking     Corporate Lending, Oracle     Banking Payments, Oracle     Banking Trade Finance or     Oracle Banking Treasury     Management	<ul> <li>10K API Calls</li> <li>Account</li> <li>Processor</li> <li>Financial Inclusion Account</li> </ul>



\* When Component pricing model is used, Oracle FLEXCUBE Universal Banking Base program should be licensed for cumulative number of Application Users of Oracle FLEXCUBE Universal Banking and Oracle FLEXCUBE Open Development Tools and Oracle FLEXCUBE Interfaces and Tools programs.

When licensing Oracle FLEXCUBE Universal Banking modules by Account metric, quantity of Accounts licensed for each Oracle FLEXCUBE Universal Banking module including Base must be same. The sum of Accounts across all licensed modules (excluding Base) on Account metric is to be used as the quantity to be licensed. For example, if customer needs 1,000,000 Accounts of Current Accounts and Savings Accounts, 400,000 Accounts of Term Deposits and 100,000 Accounts of Retail and Small and Medium Enterprise Loans; quantity of 1,500,000 Accounts needs to be licensed for each of Base, Current Accounts and Savings Accounts, Term Deposits and Retail and Small and Medium Enterprise Loans modules.

When licensing Oracle FLEXCUBE Universal Banking modules by Custom Suite User metric, quantity of CAS users licensed for each Oracle FLEXCUBE Universal Banking module including Base must be the same.

NOTE: # For sending SWIFT FIN messages generated in FLEXCUBE to SWIFT network or for sending FIN messages from SWIFT network to FLEXCUBE, Oracle Banking SWIFT Connectivity Pack SKU needs to be licensed.

## 2.5 Restricted Use License

The following modules have restricted features or functionality for use under the license metric Financial Inclusion Account.

Product or Component	Restrictions/Features Omitted
Oracle FLEXCUBE Universal Banking Base	Customer creation along with account creation is done in a single go without the option of Signature capture and verification.
	These customers will not have the Limits linkage
	Only Individual type of customers alone can be created. (Corporate/ Bank kind of customers cannot be created)
Oracle FLEXCUBE Universal Banking Current Accounts and Savings Accounts	System will allow the FI customer to open only a Single Holder savings CASA account and in branch currency only
	<ul> <li>Temporary OD on FI customer's CASA accounts is allowed for a short term without attaching any collateral or credit line backing.</li> </ul>
	Allow mapping an account class with only a single IC product of type "Interest". This IC product is restricted to contain only general conditions and One Debit and One Credit product attached to it.
	<ul> <li>Check book and Debit card features are not available for FI customer accounts.</li> </ul>
	The account cannot be salary account



Product or Component	Restrictions/Features Omitted		
Oracle Banking Branch	<ul> <li>Allow only the below Branch transactions for a FI customer,</li> <li>Cash Deposit</li> <li>Cash Withdrawal</li> <li>Transfers</li> <li>Utility payment without external Utility Provider interface</li> </ul>		
Oracle FLEXCUBE Universal Banking ATM Interface	No restrictions brought in.		
Oracle FLEXCUBE Integration Gateway	No restrictions brought in.		
Oracle FLEXCUBE Universal Banking Retail and Small and Medium Enterprise Loans	<ul> <li>Following loan features are not available for Financial Inclusion Customer</li> <li>Origination / Onboarding</li> <li>Auto disbursement</li> <li>Rate revisions</li> <li>Provisioning</li> <li>Re-negotiation</li> <li>Amendments</li> <li>FI Loans are only created in Branch currency</li> <li>A new screen is made available for loan account input for FI customer</li> </ul>		
Oracle FLEXCUBE Universal Banking Point of Sale Interface	No restrictions brought in.		



# 3. Software Pre-requisites

Following are the separately licensable software prerequisites for Oracle FLEXCUBE Universal Banking Products.

# 3.1 <u>Software Prerequisites</u>

### 3.1.1 <u>Tech Stack – Oracle</u>

Component	Deployment option	Machine		Operating System	Software	Version Number
Oracle FLEXCUBE Universal Banking	UI-Host and Centralized	Application Server		Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	Oracle WebLogic Server	14.1.1.0.0
					Java HotSpot(TM) JDK (with WebLogic Application Server)	11.0.16
					Open Symphony Quartz	2.3.2
		Database Server		Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	Oracle RDBMS Enterprise Edition	19.18.0.0.0
		Client Ma Note - Browser		chines	Mozilla Firefox	102+
					Microsoft Edge	107+
				the Browser longer based	Safari	15+
		on the Op			Google Chrome	107+
Oracle FLEXCUBE Universal Banking Integration Gateway	Web services (incoming) HTTP Servlet (incoming) EJB (incoming) MDB (incoming) Notification s (outgoing)	Integra tion Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)		Oracle WebLogic Server	14.1.1.0.0



Oracle FLEXCUBE Universal Banking Switch Integration Gateway	Switch Integration Gateway	Integra tion Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)		JDK	11.0.16
Oracle			Ora	clo	JDK	11.0.16
FLEXCUBE Universal Banking REST	Web services	Integra tion Server	Oracle Enterprise Linux Server 8.3 (x86 64		Oracle WebLogic Server Oracle Toplink	14.1.1.0.0
Services			Bit)		Oracle ropillik	14.1.1.0.0
Oracle FLEXCUBE Machine Learning	Centralized	Machi ne Learni ng Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)		OML4R (Previously Oracle R Enterprise)	1.5.1
Oracle FLEXCUBE Oracle Digital Assistant Integration	Centralized	Applic ation Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)		oda-native-client-sdk- js	20.3.1
	Web services (incoming)				Oracle WebLogic Server	14.1.1.0.0
Oracle FLEXCUBE	HTTP Servlet (incoming)			Oracle Enterprise Linux Server 8.3 (x86 64 Bit)		
Universal Banking	EJB (incoming)					
Integration Gateway	MDB (incoming)					
	Notifications (outgoing)					
Oracle FLEXCUBE Universal Banking	FLEXCUBE Universal Switch Banking Integration Integration		Oracle Enterprise Linux Server 8.3	JDK	11.0.16	
Switch Integration Gateway	Gateway	Server		(x86 64 Bit)		
Oracle FLEXCUBE Universal Banking	Web services	Integration Server		Oracle Enterprise Linux Server 8.3	JDK	11.0.16
J			(x86 64		Oracle WebLogic Server	14.1.1.0.0



REST			Bit)	Oracle Toplink	14.1.1.0.0
Oracle FLEXCUBE Machine Learning	Centralized	Machine Learning Server	Oracle Enterprise Linux server 8.3 (x86 64 bit)	OML4R (Previously Oracle R Enterprise)	1.5.1
Oracle FLEXCUBE Oracle Digital Assistant Integration	Centralized	Application Server	Oracle Enterprise Linux server 8.3 (x86 64 bit)	oda-native-client-sdk-js	20.3.1



Note: Browser support is no longer based on Operating Systems but strictly tied to the browser themselves, no matter which Operating Systems they are installed on. Current release is certified on client workstations with Windows 7, 8, 10 and Mac OS X.

# 3.2 Module-wise Software Prerequisites

Product Name	Product Version	Requirements
Oracle Fusion Middleware Infrastructure	12.2.1.4.0	Optional - Oracle FLEXCUBE Universal Banking and Oracle FLEXCUBE Universal Banking Integration Gateway supports both Oracle Weblogic Server (Fusion Middleware) and WebSphere.
Java HotSpot(TM) JDK (with WebLogic Application Server)	11.0.16	Optional – Required for Oracle FLEXCUBE Universal Banking and Oracle FLEXCUBE Universal Banking Integration Gateway on WebLogic.
Open Symphony Quartz	2.3.2	Standard requirement
Oracle Toplink	14.1.1.0.0	Optional - Required only if limits tracking is required.
Oracle RDBMS Enterprise Edition	19.18.0.0.0	Standard requirement



# 4. Third Party Licenses

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Tatu Saloranta (tatu.saloranta@iki.fi): author

Henning Schmiedehausen (hgschmie@github)

- \* Reported #11: Add `configureAbsentsAsNulls` config setting, for better compatibility with Guava module (2.6.0) Jerome Loisel (jloisel@github)
- \* Reported #14: Missing `@type` when serializing Optional<Interface> (2.6.2)

Peter Royal (osi@github)

- \* Reported #20: fails to serialize empty Optional with @JsonUnwrapped annotation (2.6.4) \* Reported #25: OptionalDoubleSerializer does not override acceptJsonFormatVisitor (2.6.4) \* Reported, contributed fix fo #26: `@JsonUnwrapped` on Optional value does not propagate prefix to schema (2.6.4) Nick Cronin (Quintok@github)
- \* Reported #21: Manifest file missing OSGi import for `com.fasterxml.jackson.databind.introspect` (2.6.4)
- James Lorenzen (jlorenzen@github)
- \* Requested #18: Allow use of `@JsonInclude(content=Include.NON\_EMPTY)` with `Optional<>` (2.7.0)



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org.eclipse.jetty.toolchain:jetty-schemas

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Fourth Party dependencies	Dependency:
org.apache.logging.log4j:log4j-api:	

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### # Jackson JSON processor

Jackson is a high-performance, Free/Open Source JSON processing library. It was originally written by Tatu Saloranta (tatu.saloranta@iki.fi), and has been in development since 2007. It is currently developed by a community of developers, as well as supported commercially by FasterXML.com.

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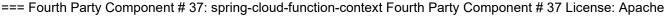
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<artifactId>jakarta.xml.bind-api</artifactId> <version>2.3.3</version>

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jul-to-slf4j log4j-api log4j-to-slf4j logback-classic logback-core slf4j-api

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